

1 **Revisiting Diagrams of Ice Growth Environments**

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ABSTRACT

11 Many textbooks contain outdated and erroneous information on ice growth within clouds as a
12 function of temperature and moisture. Laboratory studies and analysis of field observations by
13 Bailey and Hallett in a series of papers in 2002, 2004 and 2009 corrected several errors from earlier
14 studies, but their work has not been widely disseminated. A new, simplified diagram based on
15 Bailey and Hallett's work focuses on the five ice growth modes of edge growth, face growth, corner
16 growth and two types of polycrystalline growth as well as a mixed category which occurs at low
17 ice supersaturations. To aid interpretation for a variety of applications, versions of the ice growth
18 diagram are presented in terms of relative humidity with respect to water as well as the traditional
19 formats of relative humidity with respect to ice and vapor density excess. The myriad shapes of
20 pristine snow crystals are the result of either a single growth type or a sequence of multiple growth
21 types. Overlays of data from upper air soundings on the ice growth diagrams aid interpretation of
22 expected ice properties and physical processes in winter storms.

23 *Capsule summary.* A simplified ice crystal growth diagram as a function of relative humidity
24 with respect to water and temperature based on state of the art research.

25 **1. Introduction**

26 Ice crystal shapes, also known as habits, constrain cloud radiative properties and precipitation
27 formation. When snow reaches the surface, snowflake geometries within snowpacks influence
28 metamorphism. The expected characteristics of ice growth at given temperatures and relative
29 humidities provide key assumptions for retrieval of ice properties using remote sensing methods.
30 Thus, accurate depictions of ice habit as a function of air temperature and humidity are vital
31 throughout research and operational meteorology. Ice shapes are usually visualized using an ice
32 habit diagram, where temperature is placed on one axis, some measure of supersaturation on the
33 other, and the habits denoted on this phase space. However, a simple Internet search for "ice habit
34 diagram" reveals a problem—many of the available figures disagree with one another.

35 Unfortunately, all ice habit diagrams published prior to 2009—and many since—do not accurately
36 describe the real atmosphere. Popular science and Internet educational sources intended for
37 classroom use contain errors (e.g. Furukawa and Wettlaufer 2007; Libbrecht 2017, 2020; UCAR
38 2020). While some widely-used textbooks have accurate information (e.g. Wang 2013; Rauber
39 and Nesbitt 2018), others contain outdated materials (e.g. Houze 2014). Errors in upper-level
40 textbooks likely propagate to introductory meteorology texts (e.g. Hakim and Patoux 2017; Ahrens
41 and Henson 2018). This state of confusion makes it difficult to teach accurate information about
42 ice crystal shapes for all levels of students.

43 In fact, fundamental information about ice habit as a function of temperature and humidity
44 between 0 °C and -70 °C is largely settled science. Three papers by Bailey and Hallett (2002,
45 2004, 2009), based on extensive field observations and laboratory experiments, make two major

46 changes to previous work and represent the state of the art. First, at air temperatures below $-22\text{ }^{\circ}\text{C}$,
47 they show ice crystals are polycrystalline (growing along multiple axes simultaneously with no
48 strongly preferred orientation). Platelike polycrystals grow between air temperatures $-22\text{ }^{\circ}\text{C}$ and
49 about $-45\text{ }^{\circ}\text{C}$, and columnar polycrystals grow at temperatures less than about $-45\text{ }^{\circ}\text{C}$, with a weak
50 dependence on moisture near the interface of the two. Second, at low ice supersaturations and
51 most temperatures, a mixed-growth regime is present where polycrystals, irregulars, short columns,
52 equiaxed crystals, and plates are all possible. Bailey and Hallett (2002) describes the platelike
53 polycrystals and explains how prior research was biased by nucleation and substrate effects. Bailey
54 and Hallett (2004) uses a wider range of experimental conditions, and showcases the columnar
55 polycrystals and the mixed-growth regimes. Bailey and Hallett (2009) draws on their previous
56 results and field observations to create an updated habit diagram. Subsequent field observations
57 have confirmed the Bailey and Hallett (2009) diagram (Bailey and Hallett 2012; Lawson et al.
58 2019; Kumjian et al. 2020).

59 Bailey and Hallett's results and habit diagram have not been widely disseminated, likely because
60 their figures are highly complex and intended for an expert audience. This has limited the broader
61 usage of their conclusive findings. We present a new ice growth diagram based on the science
62 of Bailey and Hallett (2002, 2004, 2009) designed to improve science communication about ice
63 formation, and to facilitate meteorological data visualization. We make four key changes designed
64 to lower the cognitive load: swapping the axes, casting the diagram in terms of relative humidity
65 with respect to water, focusing on ice growth mode rather than ice habit, and restricting the phase
66 space to real atmospheric conditions. This new ice growth diagram is intended for use at sophomore
67 undergraduate level and above.

68 Riming and aggregation are two important ice processes which fall outside the purview of the
69 ice diagram. The ice growth diagram only describes growth from vapor deposition. Riming adds

70 ice mass through freezing of cloud droplets on ice crystals, not deposition, while aggregation only
71 rearranges extant ice crystals.

72 **2. Revisualized ice growth diagram**

73 We introduce a version of the diagram using relative humidity with respect to water (RH_w) (Fig.
74 1), in addition to the traditional displays of relative humidity with respect to ice (RH_{ice}) (Fig. 2)
75 and vapor density excess (Fig. 3). The Appendix has alternate versions of these diagrams with
76 higher-contrast colors and information on the publicly-available software to plot the diagrams.
77 We preserve the depictions of sharp versus more fuzzy boundaries between growth modes as
78 described by Bailey and Hallett (2002, 2004, 2009). The presence of a transition zone from plates
79 to polycrystalline plates between -20 °C and -22 °C is described in Bailey and Hallett (2009).
80 There is also a lack of a sharp transition from sector plates to dendrites (Nakaya 1954; Marshall
81 and Langleben 1954; Demange et al. 2017a). The relatively sharp transition to the mixed regime
82 at most temperatures is documented in Bailey and Hallett (2004).

83 *a. Decreasing temperature on the y-axis*

84 Almost all ice habit diagrams place temperature on the x-axis and the unit of moisture (tradi-
85 tionally supersaturation with respect to ice or vapor density excess) on the y-axis. The earliest
86 research into ice habit considered only the effects of temperature (Heim 1914; Weickmann 1945;
87 aufm Kampe et al. 1951; Mason 1953). The first plots of habit simply displayed a temperature axis
88 and indicated habits as appropriate. When moisture began to be considered, temperature remained
89 on the abscissa and supersaturation began to be used as the ordinate (Nakaya and Sekido 1936;
90 aufm Kampe et al. 1951; Nakaya 1954).

91 Swapping the axes to place decreasing temperature on the y-axis and moisture on the x-axis
92 yields several interpretive advantages. Having temperature decrease on the y-axis mirrors the
93 real atmosphere, where temperature generally decreases with height. This removes a layer of
94 abstraction, simplifying interpretation in terms of the physical atmosphere. The ICAO standard
95 atmosphere approximation maps temperature to height (ICAO 1993). We place this approximation,
96 normalized with respect to the 0 °C level, on the y-axis on the right side of the diagram. While
97 the real atmosphere at any given time and location often deviates from the standard atmosphere,
98 particularly in deep convection or near air mass boundaries in winter storms, the scale on the
99 right of the diagram provides qualitative information about where these temperatures occur in the
100 atmosphere. Bailey and Hallett presented a version of their diagram with temperature on the y-axis
101 and an atmospheric height approximation in a 2010 conference paper (Bailey and Hallett 2010).

102 *b. Use of RH_w*

103 We favor the version of the diagram using RH_w (Fig. 1) especially for undergraduate-level
104 educational materials. RH_w is used throughout meteorology, while ice supersaturation and vapor
105 density excess are more specialized. RH_w is also widely understood, at least intuitively, by the
106 general public due to its use in weather forecasts. To the best of our knowledge, our diagram is the
107 first to illustrate ice growth modes in terms of RH_w .

108 Figure 4 shows the RH_w version simplified to show only the physical processes that occur in
109 different portions of the diagram. This simplification makes it an effective educational tool to
110 explain basic concepts of ice microphysics in introductory classes. The presentation highlights the
111 relationship between RH_w and RH_{ice} as a function of temperature. As air temperature decreases,
112 the RH_w needed to sustain an ice cloud shrinks as well. This is in contrast to the requirement that
113 $RH_w \geq 100\%$ for liquid phase and mixed phase clouds.

114 For more expert users, ice supersaturation directly connects to concepts of sublimation, which
115 can only occur when subsaturated with respect to ice, and deposition, which can only occur at
116 or above saturation with respect to ice. Diagrams in terms of ice supersaturation include Nakaya
117 (1954), Kobayashi (1957), Hallett and Mason (1958), Bailey and Hallett (2009), and St-Pierre and
118 Thériault (2015). Vapor density excess describes the density of water vapor in excess of saturation
119 with respect to ice (units g m^{-3}). Diagrams in this format include Kobayashi (1961), Pruppacher
120 and Klett (1997), Furukawa and Wettlaufer (2007), St-Pierre and Thériault (2015), and Libbrecht
121 (2017). Vapor density excess is a concrete way to describe the physical quantity of water vapor,
122 rather than expressing some percentage of saturation.

123 *c. Simplify to growth mode rather than ice habit*

124 One reason for the complexity of Bailey and Hallett's diagram is its focus on ice habit. Habit
125 classifications have grown unwieldy, as the number of identifiable habits increased from the 42 in
126 Nakaya (1954) to the 121 identified by the current standard, Kikuchi et al. (2013). No mapping
127 exists to relate all these unique ice habits to the environmental conditions in which they form. Novel
128 flake geometries and particle types continue to be described (Ganetis 2013; Griffin et al. 2014;
129 Scott 2015). Further obfuscating the situation, habit terminology is used inconsistently (Bailey
130 and Hallett 2002, 2004).

131 Clearly, a different approach is needed to describe the physical behavior of ice growth. While there
132 are a huge number of habits, there are only five ice growth modes: edge, face, corner, polycrystal
133 (platelike), and polycrystal (columnar). An ice crystal experiencing only a single growth mode
134 results in the basic crystal geometries. Edge growth leads to hexagonal plates, face growth to
135 columns or needles, and corner growth to sector plates or branched crystals. In the diagram we
136 distinguish between corner growth yielding sector plates from corner growth yielding branched

137 including dendritic crystals as the temperature and moisture conditions for these subcategories
138 differ. Since "dendritic" is Latin for "branched" the nomenclature can be confusing. Dendrites are
139 the symmetric 6-branched, fern-like and stellar subcategories of 2-D branched crystals (Nakaya
140 1954). Other types of branched crystals are asymmetric, 3-D, or have more or less than 6 branches
141 (Nakaya 1954; Korolev et al. 1999; Kikuchi et al. 2013; Libbrecht 2017). Platelike polycrystalline
142 growth produces assemblages, planes, crossed plates, and spearheads, among others. Columnar
143 polycrystalline growth results in bullet rosettes, column rosettes, basals with columns, and more.
144 We use the designation *mixed* to describe the Bailey and Hallett (2009) category at low ice
145 supersaturations where combinations of polycrystal, edge, and face growth modes can occur and
146 compact faceted polycrystals, thick plates, short columns, and equiaxed columns are observed.
147 When an ice crystal encounters multiple environments with different growth modes, a sequence of
148 different types of growth occurs (Nakaya 1954; Hallett and Mason 1958; Bailey and Hallett 2004).
149 Examples of sequential growth are discussed in Section 5.

150 *d. Restrict phase space to conditions in the troposphere*

151 By convention, the top of the troposphere is considered to be $-56.5\text{ }^{\circ}\text{C}$ (ICAO 1993). This
152 temperature cutoff avoids the discontinuity in temperature with altitude in the ICAO standard
153 atmosphere associated with the stratospheric temperature inversion. There are a few cases of
154 tropospheric clouds where our diagram does not apply to because of this temperature bound, such
155 as some convective anvil clouds (Lawson et al. 2006). The Bailey and Hallett (2009) diagram
156 extends to $-70\text{ }^{\circ}\text{C}$, which better captures these conditions (Korolev et al. 2000; Bailey and Hallett
157 2004, 2009).

158 Based on theoretical and observational arguments from Korolev and Mazin (2003), we cut off
159 our diagram at a maximum *ambient* $\text{RH}_w=105\%$. This is a departure from Bailey and Hallett

160 (2009), which uses an upper bound on moisture based on ventilation. Use of ambient RH is easier
161 to grasp for students since the impact of ventilation on RH is an advanced topic in microphysics.
162 Additionally, since there are no changes in growth mode for $RH_w > 103\%$ for any temperature
163 even in the circumstance of $RH_w > 105\%$ one can read the growth mode off the diagram by using
164 the value at 105% . Ambient supersaturations with respect to water above 100% can occur within
165 updrafts when the rate of change of the equilibrium saturation vapor pressure with decreasing
166 temperature (source) is faster than the condensation rate on activated CCN and existing drops
167 (sink) (Rogers and Yau 1989). Supersaturations with respect to water greater than 1% are rare and
168 ephemeral (Mason 1971; Gerber 1991). RH_w greater than 100% cannot be measured by current
169 commercial radiosondes. Using a theoretical framework that accounted for vertical air motions,
170 temperature, and drop sizes, Korolev and Mazin (2003) estimated a maximum ambient RH_w of
171 105% . We use this as our upper bound for natural *ambient* supersaturation.

172 Ventilation is the process by which airflow around a crystal enhances the vapor density immedi-
173 ately adjacent to a crystal's corners (Keller and Hallett 1982; Wang 2002; Bailey and Hallett 2004).
174 Ventilation occurs for any nonzero airflow around a crystal and will occur for falling ice particles.
175 Ventilation effects increase the effective RH over the ambient RH. The magnitude of the ventilation
176 effect is difficult to quantify. Modeling studies of even simplified shapes have been restricted to
177 qualitative descriptions of the vapor field due to computational complexity (Wang 2002). Most
178 work has examined only simple geometries (columns and spheres), with the notable exception of
179 Field et al. (2008) which examined aggregates. The maximum ventilation line in Bailey and Hallett
180 (2009) is approximated by twice the ice supersaturation at $RH_w=100\%$ for each temperature (note
181 that this is distinct from both $200\% RH_w$ and $200\% RH_{ice}$). The maximum ventilation value is
182 intended to represent the upper bound on the conditions experienced *at* a growing crystal (Bailey
183 and Hallett 2004). Drawbacks to using the maximum estimated ventilation in the diagram as the

184 upper bound on moisture are that it overconstrains supersaturations near 0 °C and underconstrains
185 supersaturations at low temperatures near -40 °C.

186 An air parcel within an updraft can experience a pulse of positive buoyancy from the release of
187 latent heat of freezing drops. Updrafts are weaker in the upper troposphere than at lower altitudes
188 and ambient upper-tropospheric relative humidities rarely reach 80% RH_w (Lang et al. 2020).
189 Ambient supersaturations of RH_w > 105% are possible within a laboratory, but we are not aware
190 of any field observations or theoretical arguments that show these conditions to be possible in the
191 real atmosphere (Hallett and Mason 1958; Bailey and Hallett 2004).

192 Laboratory work has demonstrated unique ice growth behavior at extreme supersaturations
193 in certain temperature ranges. High-temperature (0 °C to -4 °C) dendritic plates and fishbone
194 dendrites require RH_w values of up to 200% (Hallett and Mason 1958; Libbrecht 2009). But, as
195 these conditions are never achieved in Earth's atmosphere, these habits cannot occur in natural
196 clouds and storms. The existence of these extreme crystals is interesting, but we believe it is
197 necessary to only display real atmospheric conditions in our diagram intended for education and
198 atmospheric research.

199 **3. Ice growth modes from atmospheric profiles**

200 Profiles of temperature and RH_w from observations or models can be plotted on the diagram to
201 reveal the ice growth modes at different altitudes. Potentially, this type of analysis can be used to
202 recontextualize the entire global soundings data set, which can now be harvested for information
203 about which growth modes are most frequent as a function of geography. Overlaying atmospheric
204 profiles from weather and climate numerical model output on the ice growth diagram provides a
205 means of interpreting the model's implied ice growth modes as well as evaluating predicted growth

206 modes compared to observations. Analysis in terms of ice growth modes can constrain ice shapes
207 which are important for cloud radiative properties.

208 When interpreting observed radiosonde data overlaid on the ice growth diagrams, several items
209 need to be kept in mind. Commercial radiosondes cannot measure supersaturations with respect
210 to water. In particular, this means these data cannot be used to identify precisely where corner
211 branched growth occurs. Qualitatively, we infer higher probabilities for branched and dendritic
212 growth by ventilation for the subset of points with RH_w above 95% in the proper temperature range.
213 The radiosonde characterizes the environment, not any individual crystal. Precipitation-sized ice
214 experiences multiple growth conditions as it falls (sediments), and may experience other local
215 effects, especially ventilation. A point on an ice growth profile describes the mode of ice growth
216 occurring in that portion of the profile at a particular time. Snowflake habits at the surface are
217 constrained by the actual conditions for ice growth aloft for a particular storm or season.

218 We contrast winter season radiosonde data sets from December 2014 through February 2015
219 from a mid-latitude maritime, a polar, and a continental site in the Northern Hemisphere in Figure
220 5. All soundings during the period are used in these plots whether a winter storm was present or
221 not. We chose the 2014-2015 season to obtain an ENSO-neutral time period. These three examples
222 illustrate the prevalence of conditions for mixed and polycrystal growth modes within the colder
223 cloud tops at all three locations.

224 The example mid-latitude maritime environment is from the National Weather Service's sounding
225 site in Upton, New York (Fig. 5a). Most of the ice growth occurs at relatively warm temperatures.
226 The points are clustered most densely between 0 °C and -10 °C. In comparison, there are few
227 points near water saturation in the -12 °C to -18 °C range. It is important to distinguish between
228 conditions conducive to ice nucleation as compared to those necessary for ice growth. Once an
229 initial ice embryo forms it can grow by vapor deposition if $RH_{ice} \geq 100\%$. In contrast to common

230 inorganic ice nuclei, biological nuclei can activate at temperatures higher than $-10\text{ }^{\circ}\text{C}$ (Schnell and
231 Vali 1976; Vali et al. 1976; Christner et al. 2008; J. Murray et al. 2012; Michaud et al. 2014; Kanji
232 et al. 2017). Given the prevalence of cloud conditions at temperatures $> -10\text{ }^{\circ}\text{C}$, these seasonal data
233 suggest biological nuclei may be important contributors to ice formation along the U.S. eastern
234 seaboard.

235 We use the Department of Energy Atmospheric Radiation Measurement research site in Utqi-
236 agvik, Alaska above the Arctic Circle and along the coast of the Beaufort Sea as our polar
237 environment example in Figure 5b (DOE-ARM 2020). As expected, the set of profiles are colder
238 than in the mid-latitudes. Ambient conditions are dominated by edge growth, the mixed regime,
239 platelike polycrystals, and columnar polycrystals.

240 The example midlatitude continental location is the National Weather Service's radiosonde site at
241 Denver, Colorado (Figure 5c). As an interior continental site, Denver's seasonal profile is far drier
242 than either Upton, NY or Utqiagvik, AK. There are no points near water saturation in the $-12\text{ }^{\circ}\text{C}$
243 to $-18\text{ }^{\circ}\text{C}$ range. The overall sparseness of points within the ice growth regions of the diagram
244 indicates background ambient conditions at Denver are rarely sufficient to maintain ice growth. In
245 addition to synoptic-scale vertical motions along air mass boundaries, mesoscale upslope flows
246 and vertical motions from waves such as those forced by topography are likely critical to locally
247 enhance supersaturations within clouds in this region.

248 **4. Rapid ice growth conditions encompass more than dendrites**

249 The higher the vapor density excess over ice saturation, the faster the vapor deposition growth
250 rate for a given moisture content of the air (water vapor mixing ratio) (Rogers and Yau 1989; Houze
251 2014; Harrison et al. 2016). The peak vapor density excess occurs at $-12\text{ }^{\circ}\text{C}$ for $\text{RH}_w=100\%$, at
252 $-10\text{ }^{\circ}\text{C}$ for $\text{RH}_w=102.5\%$, and at $-8\text{ }^{\circ}\text{C}$ for $\text{RH}_w=105\%$ (Fig. 3). The maximum vapor density

253 excess at $RH_w=105\%$ and $-8\text{ }^\circ\text{C}$ is near the boundary between corner growth and face growth. At
254 $RH_w=102.5\%$, higher values of vapor density excess occur for face growth (column-like) and corner
255 growth (sector plates) than for corner growth (branched, dendrites). For similar supersaturations
256 with respect to water, the vapor density excesses in the $0\text{ }^\circ\text{C}$ to $-10\text{ }^\circ\text{C}$ range can approach those in
257 the $-12\text{ }^\circ\text{C}$ to $-18\text{ }^\circ\text{C}$ range.

258 The temperature range $-12\text{ }^\circ\text{C}$ to $-18\text{ }^\circ\text{C}$ is sometimes labeled the "dendritic growth zone" and is
259 often emphasized as a region of rapid ice growth (Kumjian et al. 2014; Rauber et al. 2017; Demange
260 et al. 2017b; NWS 2017). Since dendritic growth requires both temperatures between $-12\text{ }^\circ\text{C}$ to
261 $-18\text{ }^\circ\text{C}$ and $RH_w > 101.5\%$, it is mistaken to infer this type of growth based only on temperature
262 conditions. Further, dendrites are an unrepresentative subset of the possible varieties of corner
263 branched crystals (Korolev et al. 1999; Bailey and Hallett 2009; Libbrecht 2017). Fundamentally,
264 there is no set of atmospheric conditions that guarantees symmetrical, fernlike dendrites will occur
265 exclusively. Focusing on dendritic growth is physically imprecise and misleading. We suggest
266 retiring the term "dendritic growth zone".

267 Misinterpretation and overemphasis of "dendritic growth zone" is in part responsible for the
268 mistaken impressions that other ice growth modes cannot gain mass rapidly and that snow primarily
269 consists of pristine dendrites. Instead, we prefer the term *rapid growth zone* to describe situations
270 where near water saturation is expected, vapor density excess is $> 0.2\text{ g m}^{-3}$, and air temperatures
271 are between $-7\text{ }^\circ\text{C}$ and $-19\text{ }^\circ\text{C}$. *Rapid growth zone* more fully describes the diverse growth modes
272 occurring in these conditions.

273 **5. The importance of sequential growth**

274 Within a cloud at temperatures less than $0\text{ }^\circ\text{C}$, ambient conditions are typically such that
275 $RH_{ice} \geq 100\%$ but RH_w is usually not $\geq 100\%$. An ice crystal can undergo a sequence of

276 distinct growth modes as it falls through different temperature and moisture conditions. Hence, the
277 resulting ice crystal habit can be described by this sequence of growth modes. These sequences
278 can be identified using high-resolution particle imagers such as the SPEC Inc. Cloud Particle
279 Imager (CPI), Particle Flux Analytics Multi-Angle Snowflake Camera (MASC), and the Institute
280 for Meteorology and Climate Research's Particle Habit Imaging and Scattering Probe (PHIPS)
281 (Lawson et al. 2001; Garrett et al. 2012; Abdelmonem et al. 2016). We describe three well-known
282 habits that can be described by their growth mode sequences. For habit terminology, we use the
283 classification nomenclature of Kikuchi et al. (2013). The example ice crystal images are from the
284 CPI mounted on the NASA P-3 aircraft during the Investigation of Microphysics and Precipitation
285 for Atlantic Coast-Threatening Snowstorms (IMPACTS) field campaign in 2020 (McMurdie et al.
286 2019; NASA 2020). The images were collected within a 4 minute span of time when the NASA
287 P-3 was near the border of Massachusetts and Vermont as the aircraft climbed from 4.2 km to
288 4.9 km altitude. The different crystal types observed within close proximity to each other illustrate
289 that ice particles often leave the conditions where they were formed and mix through vertical air
290 motions, advection, and sedimentation.

291 An example of a "plate with branches" or "P4e" habit is shown in Figure 6. We identify
292 the sequence yielding this crystal as edge growth (forming the central irregular hexagonal plate)
293 followed by corner growth (yielding the 6 fernlike branches on the corners). Ventilation is likely
294 responsible for this sequence. Corner growth requires suitable temperatures and supersaturation
295 with respect to water, which is difficult to maintain in a mixed or ice phase cloud (Mason 1971;
296 Korolev and Mazin 2003). Edge growth requires suitable temperatures and supersaturation with
297 respect to ice and is easier to achieve. Once a crystal grows by edge growth (yielding a hexagonal
298 plate) to a large enough size to fall, ventilation can increase the supersaturation adjacent to the
299 plate's corners such that corner growth begins. Qualitatively, reviewing large numbers of CPI

300 images indicates that most branched crystals including dendrites have an inner core of a hexagonal
301 plate. The implication is that edge growth followed by corner growth is a common pathway to
302 produce branched crystals. This image was obtained at 22:08:38 UTC on January 25, 2020 at an
303 altitude of 4.86 km. The in situ environmental conditions were RH_{ice} of 101.5%, RH_w of 88.4%,
304 and an air temperature of -13.9 °C. These values indicate that the crystal had left the conditions
305 necessary for corner growth and was in mixed growth conditions.

306 An example of the habit informally known as "capped column" (Libbrecht 2017) is shown
307 in Figure 7. Kikuchi et al. (2013) does not distinguish columns capped with sector plates from
308 columns capped with dendrites in their category "column with dendrites" or "CP1b" habit (their Fig.
309 1-CP1b). The sequence producing this crystal is face growth (forming the central column) followed
310 by corner growth (yielding the sector plates on the end). Vertical motion, likely accompanied by
311 ventilation, is a likely physical pathway for this sequence. The crystal must both be cooled below
312 -8 °C and moistened above water saturation to switch from face to corner growth. An enhancement
313 of supersaturation alone, as caused by ventilation, cannot cause this growth sequence. This image
314 was captured at 22:05:45 UTC on January 25, 2020 at an altitude of 4.21 km. In situ environmental
315 conditions corresponding to the image are RH_{ice} of 102.2%, RH_w of 93.0%, and an air temperature
316 of -9.5 °C indicating mixed growth conditions.

317 Bailey and Hallett (2009) describe mixed rosettes (their Fig. 7) in terms of a sequence of growth
318 modes, specifically, polycrystal (columnar) growth followed by polycrystal (platelike) growth. This
319 sequence often likely occurs through sedimentation—a crystal forming in the columnar polycrystal
320 temperatures falls into the warmer platelike polycrystal regime. Because of their formation through
321 sedimentation, these crystal shapes may be frequently seen at thermodynamic conditions far from
322 their cold formation temperatures. Figure 8 shows an example of a mixed rosette or "P7a" habit.
323 This image was taken at 22:08:52 UTC at January 25, 2020 at an altitude of 4.86 km. The aircraft

324 was outside of cloud at this time since RH_{ice} was 90.7%, RH_w was 79.5%, and environmental
325 temperature was -13.3 °C.

326 **6. Summary and concluding remarks**

327 General understanding of ice microphysics has been hindered by slow adoption of crucial cor-
328 rections (Bailey and Hallett 2002, 2004, 2009) to previous work in materials targeted for student
329 use. We revisualize the current state of the art ice habit diagram in Bailey and Hallett (2009) by
330 simplifying the dozens of ice habits to five ice growth modes and recasting the diagram in terms of
331 RH_w with the goals of improving clarity and ease of use. Use of RH_w puts ice microphysics into a
332 more accessible framework for students than RH_{ice} or vapor density excess. The decreasing RH_w
333 needed for ice saturation and cloud formation as a function of decreasing air temperature is readily
334 apparent. The important distinction that both of the two types of corner growth (sector plates or
335 branched and dendrites) requires supersaturation with respect to water whereas all the other growth
336 modes do not is shown clearly.

337 In addition to the educational applications, we see several pathways to apply the ice growth
338 diagram in research. We think it is possible that many, if not all, observed pristine ice habits are
339 reducible to their component growth mode sequences. Joint analysis of high resolution ice particle
340 images and thermodynamic profiles in the context of the ice growth diagram has the potential to
341 yield a classification system for ice crystals based on growth modes. Plotting atmospheric profiles
342 from observed radiosonde data and weather and climate model output on the ice growth diagram
343 can provide insight on where and why differences between model physics and the real atmosphere
344 occur. Analysis of the decades-long radiosonde archive in the context of ice growth diagram may
345 yield insights into impacts of the changing climate on ice cloud properties.

³⁴⁶ *Data availability statement.* National Weather Service radiosonde data from Upton, NY and
³⁴⁷ Denver, CO can be obtained from the Integrated Global Radiosonde Archive, Version 2 described
³⁴⁸ in Durre et al. (2006).

³⁴⁹ Department of Energy Atmospheric Radiation Measurement radiosonde data from the North
³⁵⁰ Slope Alaska Central Facility site at Utqiagvik, AK are accessible from doi.org/10.5439/1021460.

³⁵¹ Cloud Particle Imager data from the NASA Investigation of Microphysics and Precip-
³⁵² itation for Atlantic Coast-Threatening Snowstorms field campaign can be accessed from
³⁵³ doi.org/10.5067/IMPACTS/PROBES/DATA101.

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APPENDIX

Software for plotting ice growth diagrams

Our software to plot the ice growth diagrams in formats with muted colors and labels on the diagram (Figs. 1, 2, 3) and with brighter colors and a key at the bottom of the diagram (Appendix figures) is on the Open Science Foundation website. The MATLAB code and documentation can be accessed at <https://osf.io/g9vzj/>

MATLAB code to plot data from the Integrated Global Radiosonde Archive on the ice growth diagrams is included in the package. The RH_w and RH_{ice} versions are better suited than the vapor density excess version for overlaying radiosonde data. At low temperatures, the atmosphere reaches saturation at small vapor density excess. Thus, the vapor density excess diagram narrows drastically at low temperatures, particularly below approximately $-30\text{ }^{\circ}\text{C}$, and data points are jumbled on top of each other.

The package also includes functions to convert between the various moisture variables. This is particularly useful for conversions to and from vapor density excess, which can be unintuitive.

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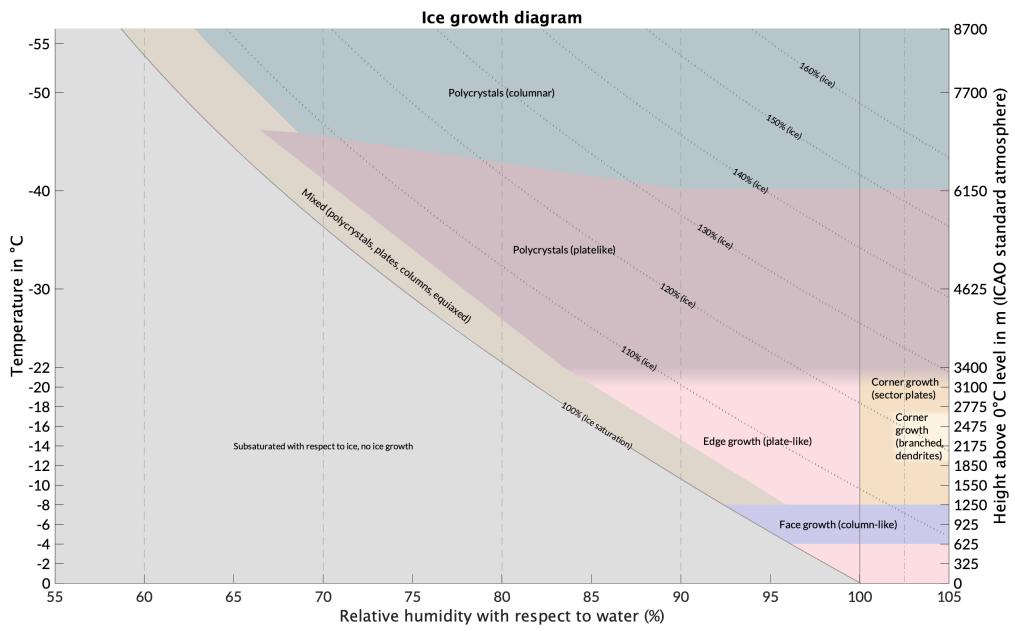


FIG. 1: Ice growth diagram in terms of relative humidity with respect to water (RH_w).

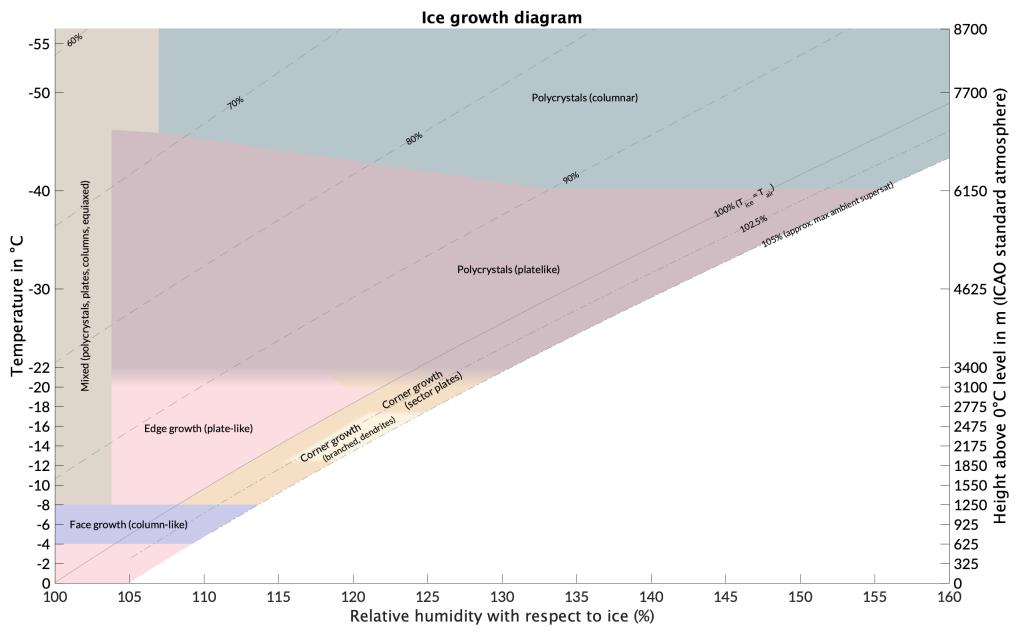


FIG. 2: Ice growth diagram in terms of relative humidity with respect to ice (RH_{ice})

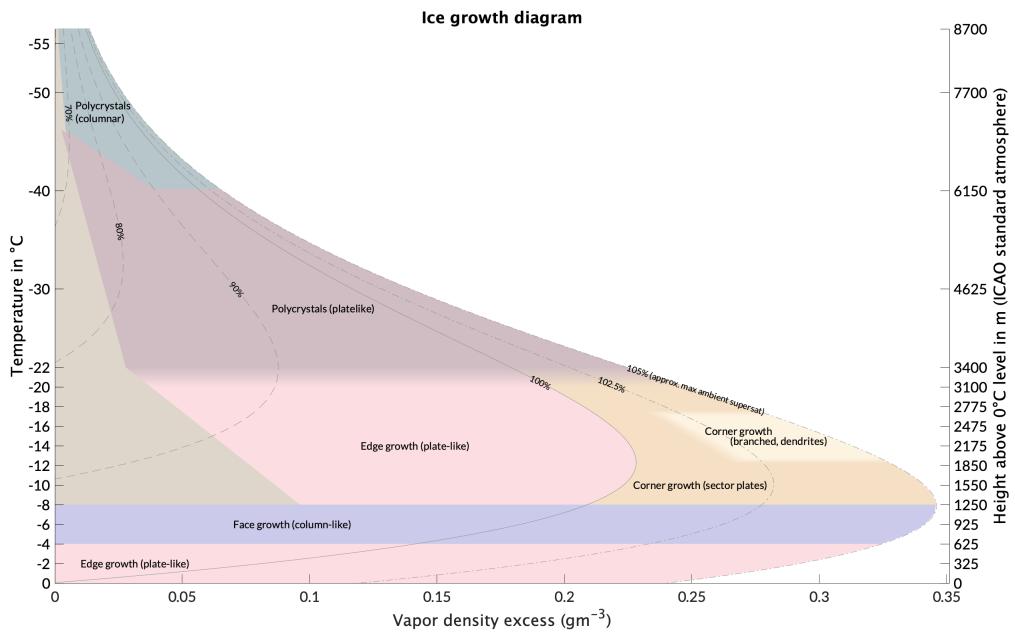


FIG. 3: Ice growth diagram in terms of vapor density excess over ice saturation.

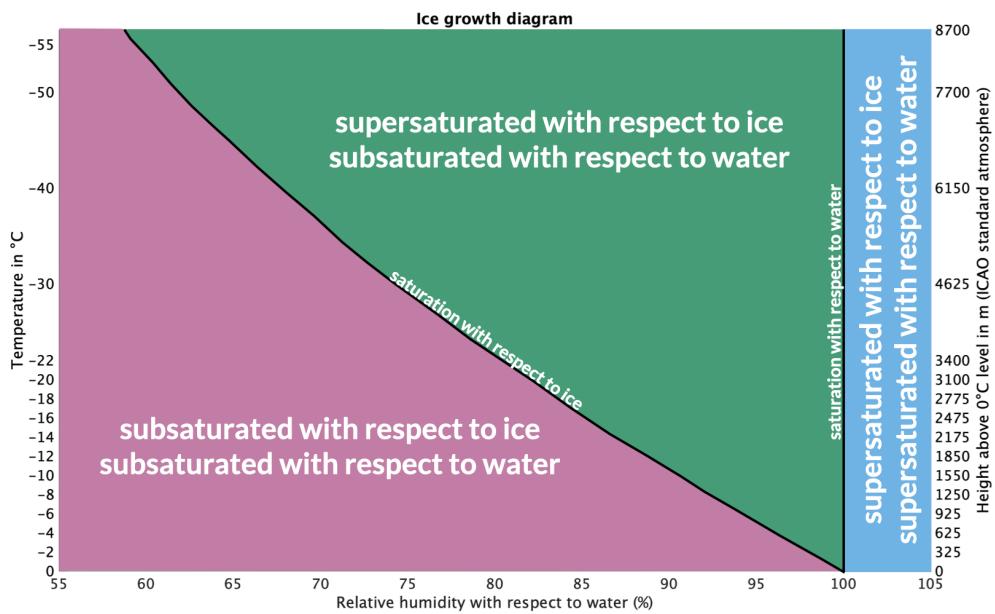


FIG. 4: Simplified diagram illustrates the phase space of subsaturated and supersaturated conditions with respect to water and ice in terms of RH_w and air temperature.

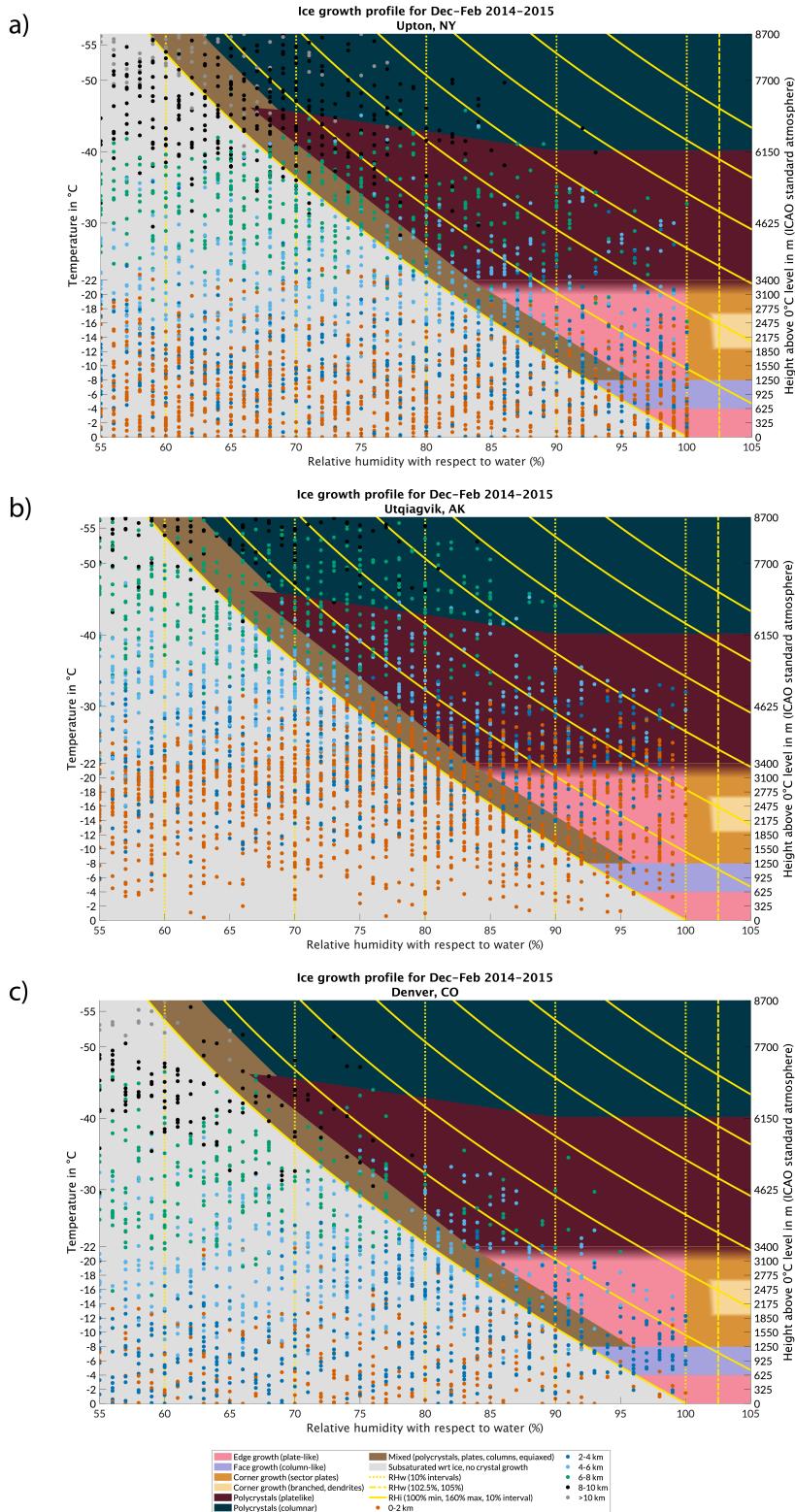


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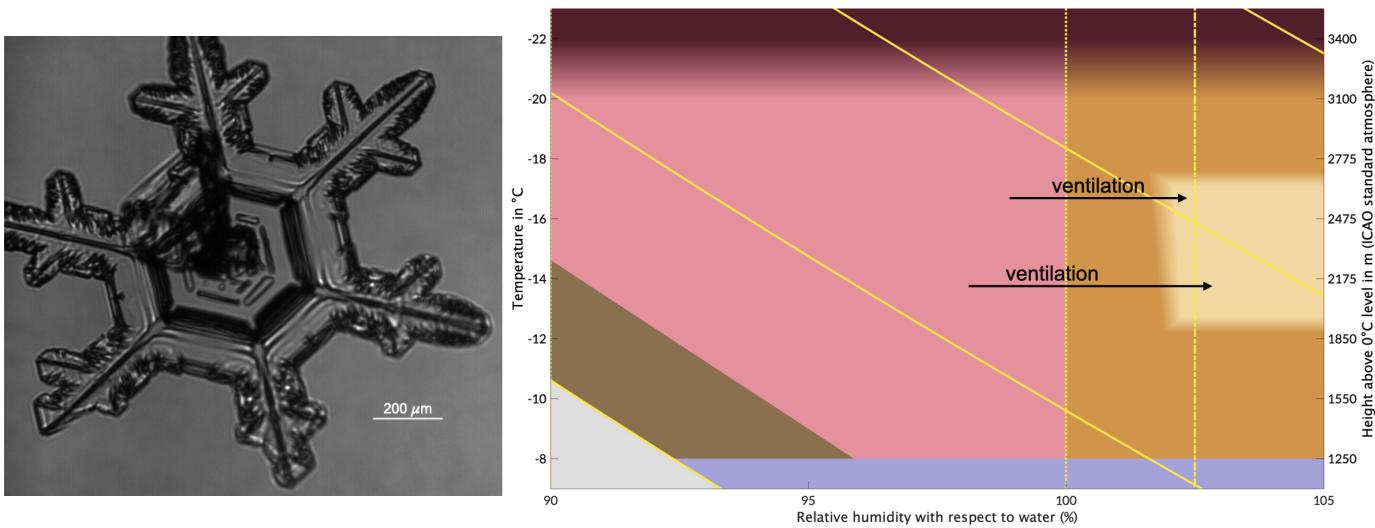


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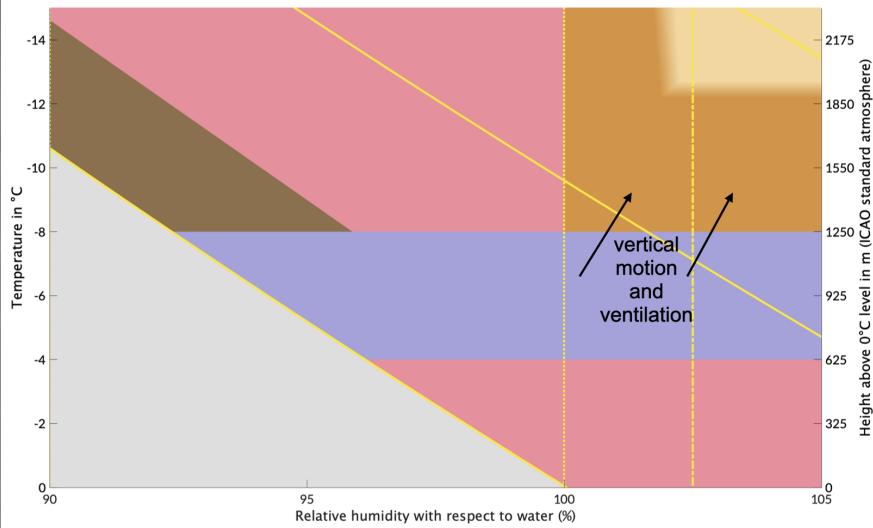
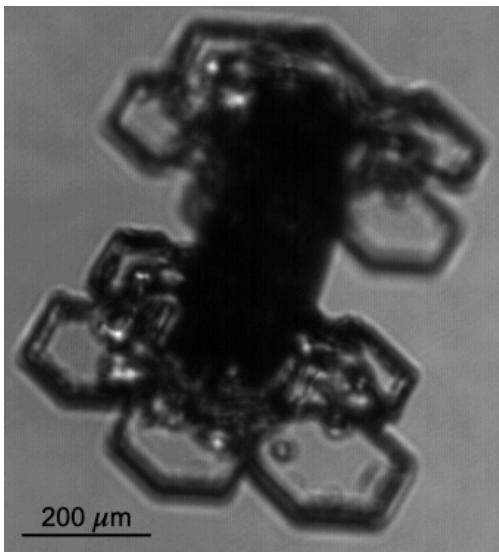


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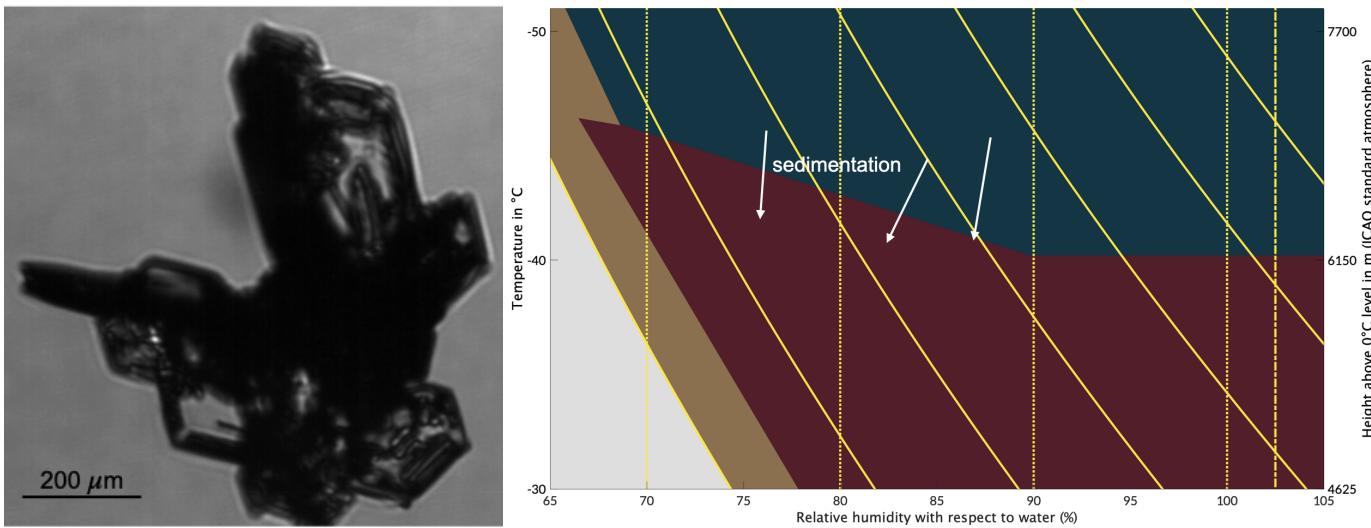


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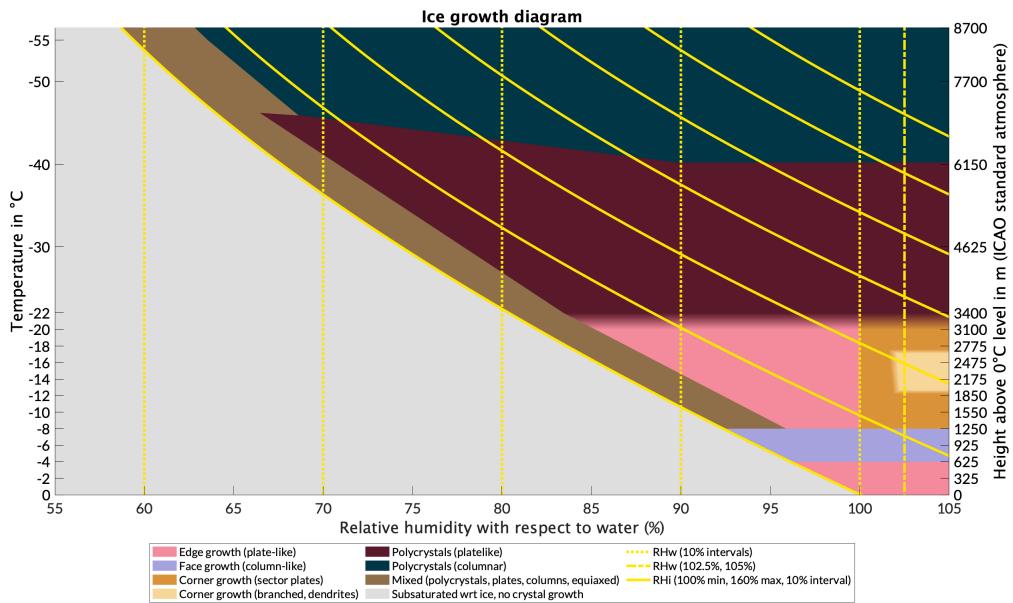


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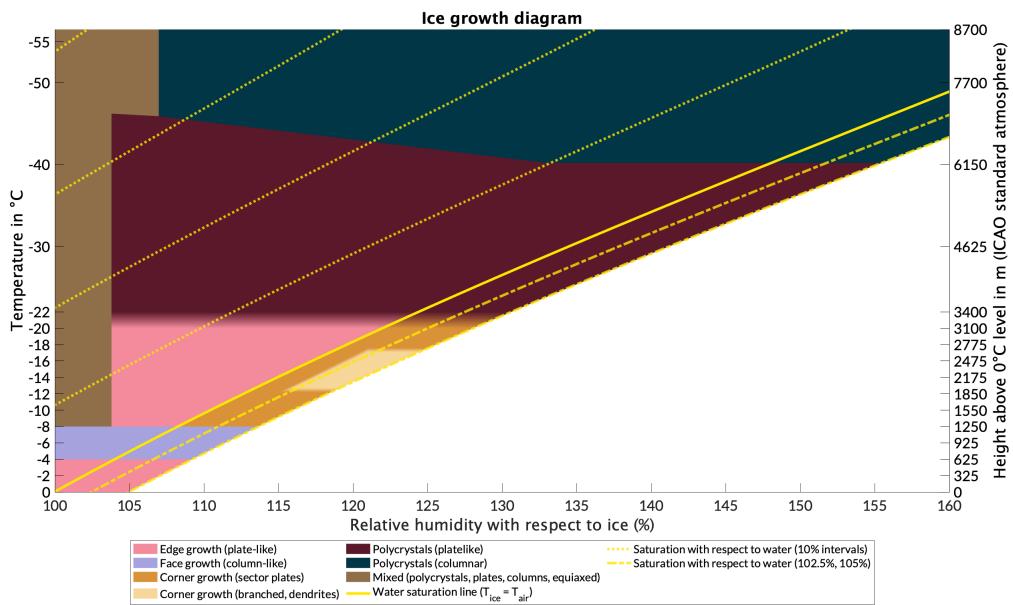


Fig. A2: The applied ice growth diagram in terms of relative humidity with respect to ice. This version is designed to have a high-contrast color scheme, appropriate for use as a base layer for data visualization.

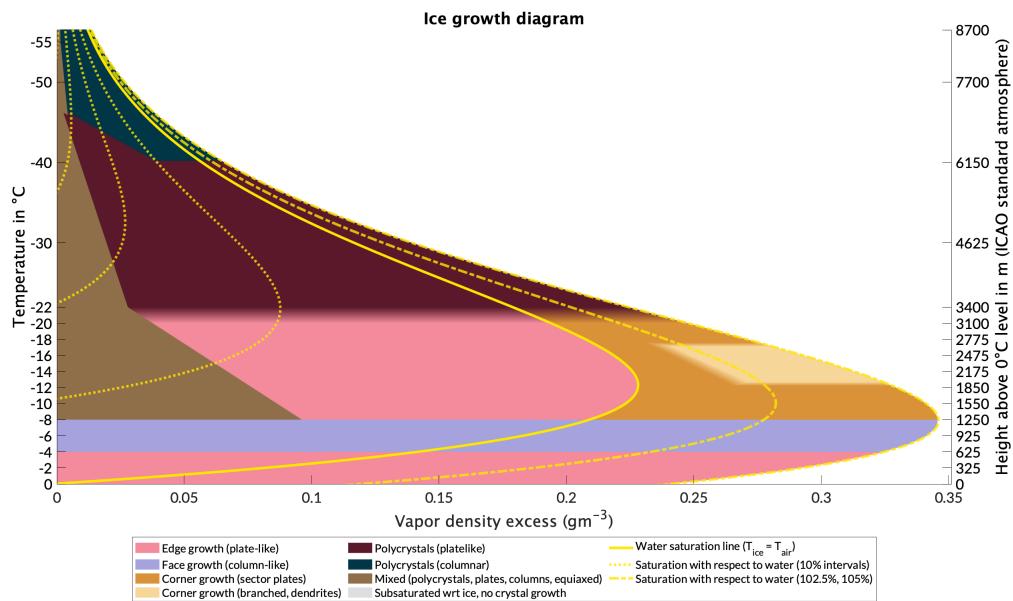


Fig. A3: The applied ice growth diagram in terms of vapor density excess. This version is designed to have a high-contrast color scheme, appropriate for use as a base layer for data visualization.